



DPW

PTO/SB/96 (04-07)

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Align Technology, Inc

Application No./Patent No.: 10/786,759 Filed/Issue Date: February 24, 2004

Entitled: ARCH EXPANDER

Align Technology, Inc, a Corporation 
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015029, Frame 0996, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

_____ Signature John P. Wagner, Jr. _____ Printed or Typed Name _____ Attorney for Assignee _____ Title	_____ 08/24/2007 Date _____ 408-377-0500 Telephone Number
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This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/80 (01-06)
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POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ Practitioners associated with the Customer Number: 70410

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

☒ The address associated with Customer Number: 70410

OR

<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	Zip	
Country			
Telephone	Email		

Assignee Name and Address:

881 Martin Avenue
Santa Clara California 95050
United States of America

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	5-17-2007
Name	Roger E. George	Telephone	408-470-4000
Title	Vice President, Legal and Corporate Affairs		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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AT-060220

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 25, 2004

PTAS



102685627A

ALIGN TECHNOLOGY, INC.
BAO TRAN
881 MARTIN AVENUE
SANTA CLARA, CALIFORNIA 95050

UNITED STATES PATENT AND TRADEMARK OFFICE
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RECORDATION DATE: 02/24/2004

REEL/FRAME: 015029/0996
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
ABOLFATHI, AMIR

DOC DATE: 02/09/2004

ASSIGNOR:
KNOPP, PETER G.

DOC DATE: 02/17/2004

ASSIGNEE:
ALIGN TECHNOLOGY, A CORPORATION OF
DELAWARE
881 MARTIN AVENUE
SANTA CLARA, CALIFORNIA 95050

SERIAL NUMBER: 10786759
PATENT NUMBER:
TITLE: ARCH EXPANDER

FILING DATE: 02/24/2004
ISSUE DATE:

015029/0996 PAGE 2

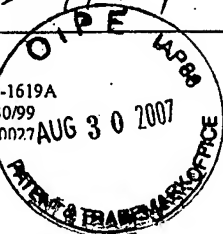
VIOLET MCCOY, EXAMINER
ASSIGNMENT DIVISION
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02/24/04

FORM PTO-1619A

Expires 06/30/99

OMB 0651-0027 AUG 30 2007



03-05-2004



102685627

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PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Attorney Docket No. AT- 000220US

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Conveying Party(ies)☒ Mark if additional names of conveying parties attached

Name (line 1) Amir Abolfathi

Execution Date
Month Day Year
February 9, 2004

Name (line 2)

Second Party

Name (line 1) Peter G. Knopp

Execution Date
Month Day Year
February 17, 2004

Name (line 2)

Receiving Party☐ Mark if additional names of receiving parties attachedName (line 1) Align Technology
a Corporation of Delaware

☐ If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United States,
an appointment of a domestic
representative is attached.
(Designation must be a
separate document from
Assignment.)

Name (line 2)

Address (line 1) 881 Martin Avenue

Address (line 2)

Address (line 3) Santa Clara

CA

95050

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Bao Tran

Address (line 1) Align Technology, Inc.

Address (line 2) 881 Martin Avenue

Address (line 3) Santa Clara, CA 95050

Address (line 4)

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03/04/2004 EDOOPER 00000115 501399 10786759

01 FC:8021 40.00 DA

2389 US PTO 10786759



022404

Correspondent Name and Address

Area Code and Telephone Number

408-470-1243

Name Bao Tran

Address (line 1) Align Technology, Inc.

Address (line 2) 881 Martin Avenue

Address (line 3) Santa Clara, California 95050

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached.

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

<u>10/786759</u>						

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
February 23, 2004

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application
Number has not been assigned.

PCT	<u></u>	PCT	<u></u>	PCT	<u></u>
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Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment: check
Deposit Account

Enclosed ☐

Deposit Account ☒

Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

50-1399

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Bao Tran

Name of Person Signing


Signature

2/23/04
Date

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, INVENTOR(S) AMIR ABOLFATHI of Woodside, California, and PETER G. KNOPP of Palo Alto, California, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: ARCH EXPANDER

Date(s) of execution of Declaration: February 9, 2004 and February 17, 2004

Filing Date: herewith

Application No.: unassigned; and

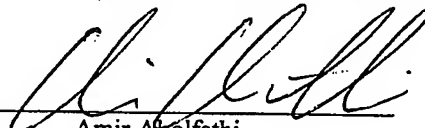
WHEREAS, ALIGN TECHNOLOGY, INC., a corporation of the state of Delaware, located at 881 Martin Avenue, Santa Clara, California, 95050, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

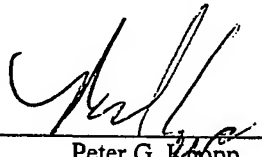
Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 2-9-04


Amir Abolfathi

Dated: 2/17/04


Peter G. Knopp